

FORMPTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
WEBSEN.034AAPPLICATION NO.  
10/017,750

SHEET

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Kester, et al.FILING DATE  
December 7, 2001GROUP  
211T 2164

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MMC	1.	5,541,911	07/30/96	Nilakantan, et al.	370	422	
MMC	2.	5,806,668	02/25/97	Shwed	713	201	
MMC	3.	5,678,041	10/14/97	Baker, et al.	707	9	
MMC	4.	5,706,507	01/06/98	Schloss	707	104.1	
MMC	5.	5,787,253	07/28/98	McCreery, et al.	709	231	
MMC	6.	5,832,212	11/03/98	Cragun, et al.	713	202	
MMC	7.	5,835,722	11/10/98	Bradshaw, et al.	709	225	
MMC	8.	5,848,412	12/08/98	Rowland, et al.	707	9	
MMC	9.	5,855,020	12/29/98	Kirsch	707	10	
MMC	10.	5,884,325	03/16/99	Bauer, et al.	707	201	
MMC	11.	5,889,958	03/30/99	Willens	709	229	
MMC	12.	5,911,043	06/08/99	Duffy, et al.	709	203	
MMC	13.	5,961,591	10/05/99	Jones, et al.	709	217	
MMC	14.	5,978,807	11/02/99	Mano, et al.	707	10	
MMC	15.	5,987,611	11/16/99	Freund	713	201	
MMC	16.	5,996,011	11/30/99	Humes	709	225	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
MMC	17.	Hegli, et al., U.S. App. No. 09/494,315, filed 01/28/00, entitled "System and Method for Controlling Access to Internet," Docket No. WEBSEN.013A
MMC	18.	Hegli, et al., U.S. App. No. 09/494,315, Currently Pending Claims - "System and Method for Controlling Access to Internet," Docket No. WEBSEN.013A

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EXAMINER	Melissa M Chopnacki	DATE CONSIDERED	12/9/04
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MMC	1	5,799,002	08/25/98	Krishnan	370	234	
MMC	2	6,055,564	04/25/00	Phaal	709	207	
MMC	3	6,233,618	05/15/01	Shannon	709	229	

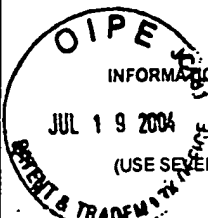
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	4	WO 01/55873	08/02/01	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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EXAMINER	Melissa M. Chagnack	DATE CONSIDERED	12/9/04
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	APPLICANT Kester, et al.	
	FILING DATE December 7, 2001	GROUP <del>2175</del> 2164

U.S. PATENT DOCUMENTS						
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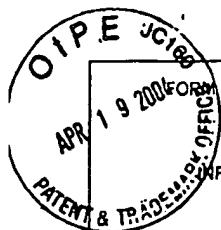
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	EP 0 748 095	12/11/96	EPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
MMC	2	Supplementary European Search Report for EPO App. No. 00 90 7078, mailed May 18, 2004
MMC	3	Roberts-Witt, S., The 1999 Utility Guide: Corporate Filtering, PC Magazine Online, 04/05/99, pp 1-11
MMC	4	Sequel Technology, Inc., Sequel and Surfwatch Partner to Provide Innovative Internet Resource Management Tools for Large Enterprises, Internet, Online!, 2/25/99, pp. 1-3
MMC	5	SurfWatch Software, SurfWatch® Professional Edition: Product Overview, Internet, Online!, 5/26/99, p. 1
MMC	6	Newman, H., A Look at Some Popular Filtering Systems, Internet, Online!, 07/25/99, pp. 1-11
MMC	7	Secure Computing Corporation, SmartFilter™ Web Tool, 12/1/98, pp. 1-2

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EXAMINER <i>Melissa M Chomacki</i>	DATE CONSIDERED <i>12/9/04</i>
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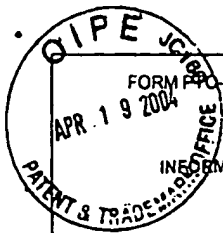


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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MMC	1	4,423,414	12/27/83	Bryant et al.	340	825.52	
MMC	2	4,941,084	07/10/90	Terada et al.			
MMC	3	5,493,692	2/20/96	Theimer, et al.	445	26.1	
MMC	4	5,548,729	08/20/96	Akiyoshi et al.	909	222	
MMC	5	5,555,376	9/10/96	Theimer, et al.	709	222	
MMC	6	5,682,325	10/28/97	Lightfoot, et al.	709	229	
MMC	7	5,696,486	12/9/97	Poliquin, et al.	340	508	2 2 2004
MMC	8	5,696,898	12/9/97	Baker, et al.	713	201	Technology Center 2100
MMC	9	5,774,668	6/30/98	Choquier, et al.	709	223	
MMC	10	5,787,427	7/28/98	Benantar, et al	709	9	
MMC	11	5,832,228	11/3/98	Holden, et al.	709	225	
MMC	12	5,832,503	11/3/98	Malik, et al.	709	223	
MMC	13	5,848,233	12/08/98	Radia et al.	713	201	
MMC	14	5,850,523	12/15/98	Gretta, Jr.	709	224	
MMC	15	5,892,905	4/6/99	Brandt, et al.	713	201	
MMC	16	5,896,502	4/20/99	Shieh, et al.	709	224	
MMC	17	5,941,947	8/24/99	Brown, et al.	709	225	
MMC	18	5,958,015	9/28/99	Dascalu	709	225	
MMC	19	5,991,807	11/23/99	Schmidt, et al.	709	225	
MMC	20	6,052,723	4/18/00	Ginn	709	223	
MMC	21	6,065,059	5/16/00	Shieh, et al.	709	233	
MMC	22	6,182,118 B1	1/30/01	Finney, et al.	709	206	
MMC	23	6,338,088 B1	1/8/02	Waters, et al.	709	226	
MMC	24	6,456,306 B1	9/24/02	Chin, et al.	715	810	

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							YES	NO
MMC	25	0 658 837 A2	12/13/94	EPO				
MMC	26	WO 92/19054	10/29/92	PCT				
MMC	27	WO 96/42041	12/27/96	PCT				

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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
MMC	28	Sandhu, et al., Access Control: Principles and Practice, IEEE Communications Magazine, pp. 40-48, September 1994
MMC	29	C. L. Schuba and E. H. Spafford. Countering abuse of name-based authentication. In 22nd Annual Telecommunications Policy Research Conference, 21 pp., 1996
MMC	30	SurfControl plc, SuperScout Web Filter Reviewer's Guide, 36 pp., 2002
MMC	31	Yiaelis, et al., Role-Based Security for Distributed Object Systems, Proceedings of the IEEE Fifty Workshops on Enabling Technology: Infrastructure for Collaborative Enterprises (WET ICE '96), 6 pp., June 19-21, 1996

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